

SWOG SDMC Update

Statistics and Data Management Center



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Program Director, Therapeutic Studies
SWOG Data Operations Center
Seattle, WA

SDMC Update



General SDMC Updates

Rodney Sutter

Central Monitoring

Jourdain Hayward

S1919: *Phase II, Multi-Arm, **R**andomized **U**mbrella **S**tudy to Evaluate the Therapeutic Efficacy and Safety of Immune-Oncology Drug **C**ombinations in Metastatic Breast Cancer (**RUSTIC**)*

Study Trial Design & Statistical Issues
Logistical Details, Access, Registration, etc.
Rave Instance Differences

Bill Barlow
Jacqueline Scurlock
Katie Minichiello

CDASH Training for CRAs

Dani Weatherbee

CRA Newsletter



- First edition published in September!
- Quarterly, posted to the CRA Workbench



- Newsletter Introduction
- Group Meeting Information
- NCORP Workshop
- Best Practices
- Updated ORP Manual
- Consent Withdrawal
- Active/Accruing Studies
- Disease Committee Members

- Feel free to submit suggestions! CRAnewsletter@crab.org

Mentoring Workshops



- Four Hour Workshop Addressing the Following Topics:
 - Clinical: Practice, Research and Trials
 - SWOG Protocol Implementation Process
 - Reports and Tools to Support Data Quality
 - Data Coordinator Review at the SDMC
 - Statistical Considerations for Clinical Trials
 - Central Monitoring for Registration Trials
 - Regulatory and SAE Reporting
 - CRA Tools: CTSU, Patient Transfers, CRA WB, Source Docs
 - Site Readiness for FDA Inspection
- Open Forum Table: How to qualify, how to request

Study-Specific Meetings



- **S1316** Protocol Meeting: *Thursday, 10/03 at 2:30*
 - Prospective Comparative Effectiveness Trial for Malignant Bowel Obstruction, activated 03/09/2015
- **S1803** Kick-Off Meeting: *Thursday, 10/03 at 5:00*
 - Phase III Myeloma Trial, 1000+ patients, activated 06/27/2019
- **S1919** Training session: *Friday, 10/04 at 8:00*
 - Phase II Umbrella Breast Trial (RUSTIC). Target date for activation: Early, 2020
- **S1820** Kick-Off Meeting: *Friday, 10/04 at 9:30*
 - A Randomized Trial of the Altering Intake, Managing Symptoms Intervention for Bowel Dysfunction in Rectal Cancer Survivors Compared to a Healthy Living Education Control. Target date for activation: Fall, 2019

SWOG Reports – CRA WB



Reports

Please select the reports you wish to display:

Site Management Reports

[Expectation and IPR Reports](#)

[Query Reports](#)

[Ineligible Patients Report](#)

[SWOG Patients in Follow-up](#)

- Links Reorganized:
 - Site Management Reports
 - Accrual Reports
 - Study Management
 - SWOG Facilities

Accrual Reports

[SWOG-credited Registrations – site-specific patient listing](#)

[SWOG-credited Registrations by Race and Sex - summary](#)

[SWOG Disease Committee Accrual Reports](#)

Study Management

[Serious Adverse Events \(SAE\) for a Given Study](#)

[List of Studies with NO Required Follow-Up](#)

[List of studies for S9808 - Long Term Follow-Up Protocol](#)

[Study-wide Unblinding Report](#)

[S0820 \(FACES\) Potential Patients](#)

SWOG Facilities

[Approved SWOG Bone Marrow Transplant Facilities](#)

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- Links Reorganized:
 - Site Management Reports
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 - Study Management
 - SWOG Facilities
- Expectation Report (Current):
 - “Expectation Type” has been added as a filter in the dropdown. Choices are: ALL, IFS (Initial Forms Set), FORM, SPECIMEN and FOLLOW-UP.

SWOG Reports – CRA WB



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Please select the reports you wish to display:

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- Links Reorganized:
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- Expectation Report (Current):
 - “Expectation Type” has been added as a filter in the dropdown. Choices are: ALL, IFS (Initial Forms Set), FORM, SPECIMEN and FOLLOW-UP.
- Ineligible Patients Report:
 - Sort order is now set to “Note Date” (descending) by default
 - "Show only ineligibility code" has been added in the dropdown as a filter. Choices are All, N (Ineligible), NI (Ineligible, insufficient information) and NR (Ineligible, reversible).

ORP GM Travel – 2019



- Continued travel opportunities!
 - We were able to provide travel for up to 10 ORP members for both meetings in 2019
 - We had 14 applicants for Spring and 70 for Fall
 - Watch for CRA Newsletter announcements for the Spring meeting



Please Welcome,

Jourdain Hayward
Central Monitor

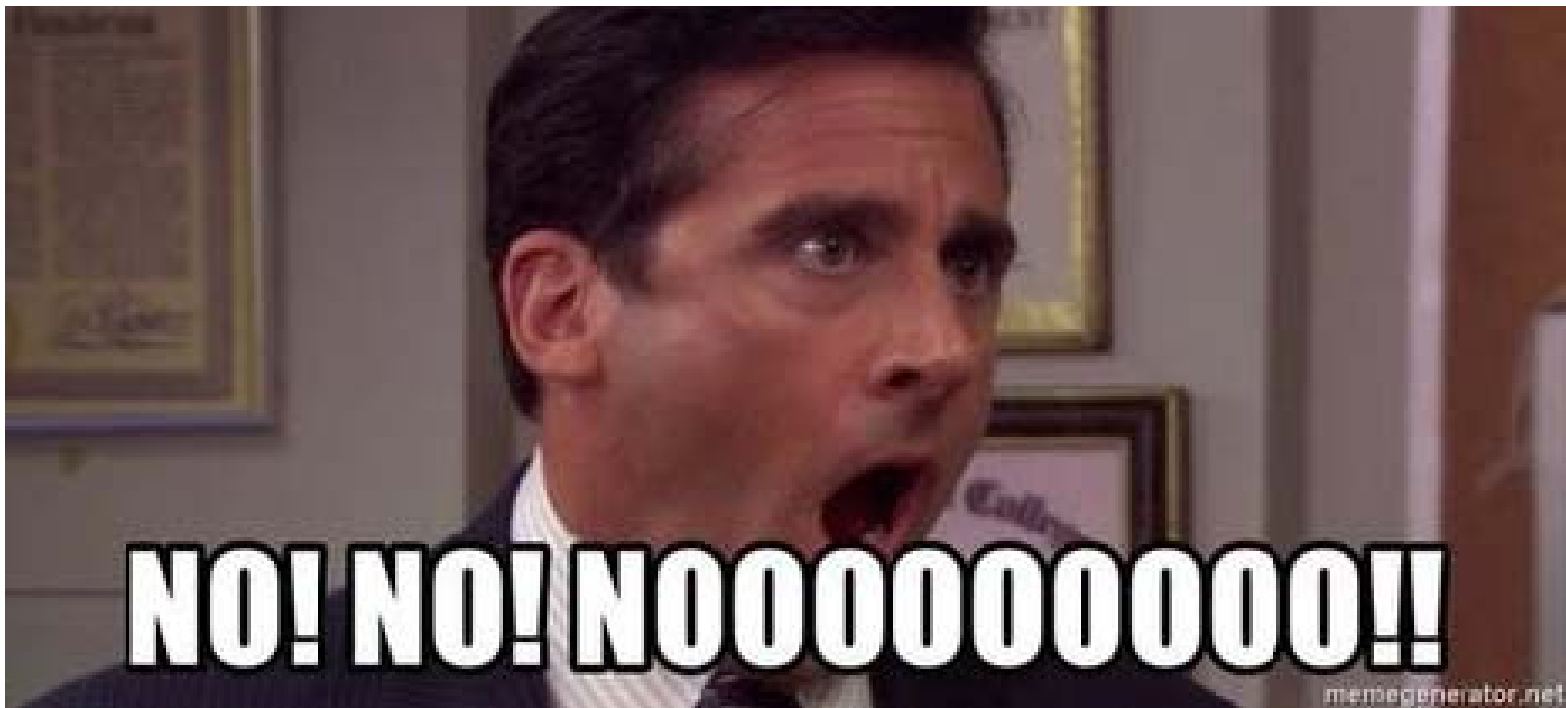


SWOG Central Monitoring

SWOG Central Monitoring

Risk-based Monitoring at the Statistics and Data Management Center
(SDMC)

You may be feeling like this after hearing from us...



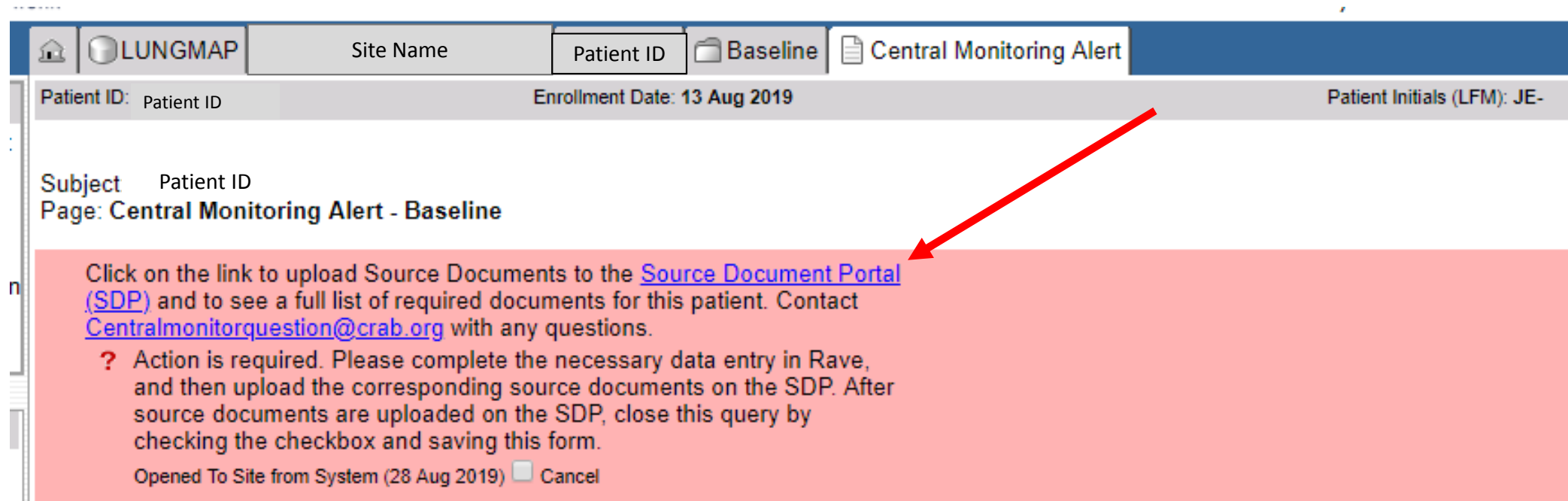
Why?

- Data Quality
- In following the FDA's guidance for industry¹ as a means to verify:
 - Rights and well-being of human subjects are protected
 - Reported trial data are accurate, complete, and verifiable from source documents.
 - The conduct of the trial is in compliance with the currently approved protocol/amendment(s), with GCP, and with the applicable regulatory requirement(s).
- With the increased probability of an FDA inspection, SWOG's Registration Trials present a need for additional monitoring outside what is already in place.

Remote Data Verification Will Utilize the Following:

- Source documents uploaded to RAVE per your SWOG protocol.
- Source documents uploaded to CTSU in the Source Document Portal (SDP) per your SWOG protocol.
- SWOG monitors will compare data reported to the eCRF against the source documents to ensure data accuracy and integrity.

SDP Access via Medidata RAVE:



The screenshot displays the Medidata RAVE interface for a patient. The top navigation bar includes a home icon, 'LUNGMAP', 'Site Name', 'Patient ID', 'Baseline', and 'Central Monitoring Alert'. Below this, a grey header bar shows 'Patient ID: Patient ID', 'Enrollment Date: 13 Aug 2019', and 'Patient Initials (LFM): JE-'. The main content area shows 'Subject: Patient ID' and 'Page: Central Monitoring Alert - Baseline'. A red arrow points to a pink highlighted box containing the following text:

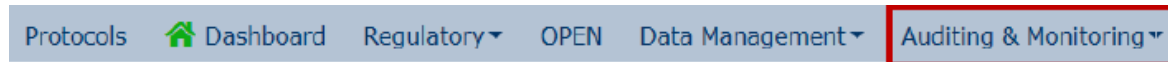
Click on the link to upload Source Documents to the [Source Document Portal \(SDP\)](#) and to see a full list of required documents for this patient. Contact Centralmonitorquestion@crab.org with any questions.

? Action is required. Please complete the necessary data entry in Rave, and then upload the corresponding source documents on the SDP. After source documents are uploaded on the SDP, close this query by checking the checkbox and saving this form.

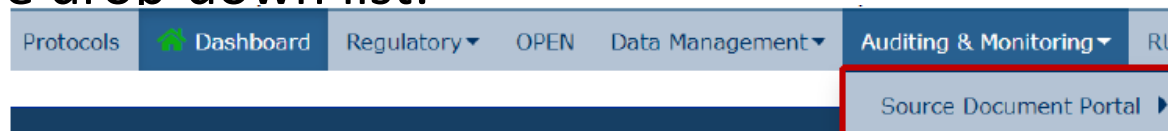
Opened To Site from System (28 Aug 2019) Cancel

SDP Access via CTSU website:

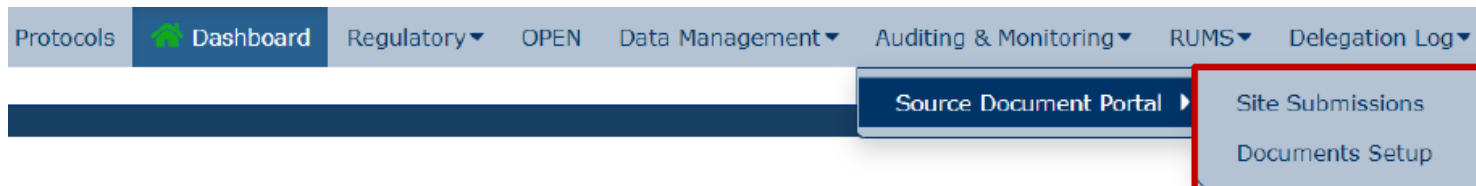
1. Log on to CTSU using your CTEP-IAM account information
2. There will be a tab across the top called “*Auditing & Monitoring*”



3. Hover over this tab and you will be able to see “*Source Document Portal*” in the drop-down list:



4. You can click directly on “*Source Document Portal*” or you can navigate further to be able to go to the Site Submissions landing page:



Primary Method

#	Site	Protocol	Patient	# of Documents Expected	# of Documents Uploaded	# of Missing Documents	# of Days Past Date of Data Entry in Rave
1	NCI Code	LUNGMAP	123456	2	0	2	51*

* Indicates that at least one document is overdue (Documents is expected to be uploaded within 14 days of data entry in Rave)

Protocol: Site: Patient:

#	Protocol	Site	Patient	Document Types	Visit Type	Expected Date	Action
1	LUNGMAP	NCI Code	123456	History & Physical	Enrollment Forms	10-May-2019	
2	LUNGMAP	NCI Code	123456	Informed Consent	Enrollment Forms	10-May-2019	

Secondary Method

- For uploading additional documents not initially listed or to supplement what you've already uploaded (i.e. additional source documents requested by study monitor).

CTSU Cancer Trials Support Unit
A SERVICE OF THE NATIONAL CANCER INSTITUTE

CTSUUAT Home Contact Feedback Public Site Log Out
Version: 6.10.5.5

My Account CRISP Welcome Jourdain Hayward as SITE User Your password will expire in 85 days. Search for... Go!

Home Protocols Dashboard Regulatory OPEN Data Management Auditing & Monitoring RUMS Delegation Log Resources Collaboration

Source Document Portal 0 ?

<< Site Submissions Help Topics

Site Submissions Upload Document and Details

LPO: SWOG Protocol: All Protocols Site: All Sites Patient: All Patients Go

#	Site Protocol Patient	# of Documents Expected	# of Documents Uploaded	# of Missing Documents	# of Days Past Date of Data Entry in Rave
No records were found that matched the criteria					

* Indicates that at least one document is overdue (Documents is expected to be uploaded within 14 days of data entry in Rave)

Tips for Successful Document Uploads in the SDP

- Ensure PHI are thoroughly redacted.
- Review your SWOG protocol (typically section 18)
- Review the email notification distributed to your site's Head CRA that provides details and instructions for the review process.
- Review reference documents provided by SWOG:
 - *Best Practices for SWOG Studies* (updated July 2019)
 - *CTSU Source Document Portal User Guide* (SWOG-created)
- Contact the SWOG monitoring team with questions:
centralmonitorquestion@crab.org

References/Citations

- 1: E6(R2) Good Clinical Practice: Integrated Addendum to ICH E6(R1).
March, 2018.



SWOG

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RESEARCH
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